

PATENT COOPERATION TREATY

From the RECEIVING OFFICE

PCT

NOTIFICATION OF THE INTERNATIONAL
APPLICATION NUMBER AND OF THE
INTERNATIONAL FILING DATE

(PCT Rule 20.5(c))

To:
GEORGE H. GATES
GATES & COOPER LLP
6701 CENTER DRIVE WEST, SUITE 1050
LOS ANGELES, CALIFORNIA 90045

Date of mailing
(day/month/year) 18 Feb 2004

Applicant's or agent's file reference
30794108WO01

IMPORTANT NOTIFICATION

International application No.
PCT/US03/39211

International filing date (day/month/year)
09 Dec 2003

Priority date (day/month/year)
NONE

Applicant
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Title of the invention
HIGHLY EFFICIENT GALLIUM NITRIDE BASED LIGHT EMITTING DIODES VIA SURFACE ROUGHENING

1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.

2. The applicant is further notified that the record copy of the international application:

18 Feb 2004

- ☒ was transmitted to the International Bureau on _____
- ☐ has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*:
- ☐ because the necessary national security clearance has not yet been obtained.
- ☐ because (reason to be specified): _____

* The International Bureau monitors the transmittal of the record copy by the receiving Office and will notify the applicant (with Form PCT/IB/301) of its receipt. Should the record copy not have been received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).

3. FOREIGN TRANSMITTAL LICENSE INFORMATION

Completed by: VI _____

- ☐ Additional license for foreign transmittal not required. This subject matter is covered by a license already granted or the equivalent U.S. national application. Refer to that license for information concerning its scope
- ☐ License for foreign transmittal not required. 37 CFR 5.11(e)(1) or 37 CFR 5.11(e)(2). However, a license may be required for additional subject matter. See 37 CFR 5.15(b).
- ☒ Foreign transmittal license granted. 35 U.S.C. 184; 37 CFR 5.11 on 12 Feb 2004 : _____
(date)
- ☐ 37 CFR 5.15(a) ☒ 37 CFR 5.15(b)

Name and mailing address of the receiving Office
Mail Stop PCT, Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450
Facsimile No. 703-305-3230

Authorized officer
Virginia Irby *VI*
Telephone No. 703-305-3748

Form PCT/RO/105 (July 1992)

RECEIVED
FEB 20 2004

Gates & Cooper LLP